

EXPRESS MAIL NO. EV333420556US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: 2003-049-ICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):  
**SHIH-LI HSU and AMAR NATH SINHA**

**For: CLOSED LOOP ADAPTIVE PRESTAGE METHOD, SYSTEM, AND PRODUCT FOR  
PRESTAGING CACHE BLOCKS**

Enclosed are also:

- ☒ 17 Pages of Specification including an Abstract  
☒ 7 Pages of Claims (1 - 20 Claims)  
☒ 2 Sheet(s) of Formal Drawings  
☒ A Declaration and Power of Attorney  
☒ Form PTO 1595 and Assignment of the invention to Storage Technology Corporation

**Nonpublication Request Under 37 C.F.R. § 1.213(a)**

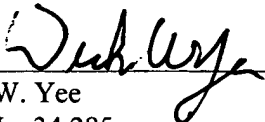
The undersigned certifies that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

**CLAIMS AS FILED**

FOR	Number Filed		Number Extra		Rate		Basic Fee (\$770)
Total Claims	20	-20 =	0	X	\$ 18	=	\$0
Independent Claims	3	-3 =	0	X	\$ 86	=	\$0
Multiple Dependent Claims	0			X	\$290	=	\$0
<b>Total Filing Fee</b>							<b>= \$770</b>

- ☒ Please charge \$770.00 to Storage Technology Corporation Deposit Account No. 19-4545.  
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with the communication, or credit any overpayments to Storage Technology Corporation Deposit Account No. 19-4545. (A duplicate copy of this sheet is enclosed.)  
☒ Any additional filing fees required under 37CFR § 1.16.  
☒ Any patent application processing fees under 37CFR § 1.17.

Respectfully,

  
Duke W. Yee  
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## **CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" mailing label number EV333420556US. Date of deposit December 29, 2003. I hereby certify that the papers or fees listed below are being deposited with the United States Postal Service by "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.



Michele Morrow

ENCLOSED are the following documents, being sent by "Express Mail":

1. Transmittal Letter (in duplicate);
2. Recordation (in duplicate);
3. Assignment (1);
4. Declaration and Power of Attorney (1);
5. Patent Application ( 17 pgs. spec. & abstract, 7 pgs. claims 1 - 20 );
6. 2 pgs. of formal drawings; and
7. Two (2) return postcards.